



CALIFORNIA DEPARTMENT OF AGING (CDA)

FAX

TO: All ADHC Providers

FROM: Adult Day Health Care (ADHC) Branch

DATE: December 29, 2011

SUBJECT: Community Based Adult Services (CBAS) Application Release and Upcoming Webinar

The purpose of this fax is to provide information regarding the Community Based Adult Services (CBAS) application and scheduled webinar.

CBAS Application

The CBAS application and 1115 Waiver Standards of Participation (SOPs) are now available and posted on both the Department of Health Care Services (DHCS) and CDA websites. The SOPs specify provider requirements for participation in CBAS at this time. DHCS and CDA will be exploring possible changes to CBAS provider requirements in the spring/summer 2012 and will engage stakeholders in future discussions.

Please be aware:

- Applications must be received by CDA or post-marked by January 27, 2012.
- Adult Day Health Care (ADHC) centers with an active unencumbered license as of December 1, 2011, may apply.
- As part of the Settlement discussions, DHCS expressed its intent to limit CBAS providers to those that are non-profit entities. Because ADHC providers are not 'parties' to the lawsuit, the settling parties (ADHC participants and DHCS) decided this requirement should be addressed separately, outside of the formal settlement process. The following requirements reflect this DHCS decision, and include exemptions in certain circumstances to ensure CBAS access:
 - CBAS Provider Legal Status Requirements Include:
 - March 1, 2012 - July 1, 2012: A CBAS provider may be a non-profit or for-profit entity.
 - After July 1, 2012: To remain or commence as an eligible CBAS provider in the Medi-Cal program, a CBAS provider must convert to a non-profit entity unless DHCS determines that the CBAS provider satisfies one of the following three exceptions to non-profit status:
 1. The for-profit CBAS provider offers program specialization that meets the specific health needs of CBAS-eligible participants not otherwise met by any other CBAS provider in the participants' geographic area
 2. The for-profit CBAS provider's operation is necessary to preserve an adequate number of CBAS providers so that CBAS-eligible participants can transition seamlessly from ADHC to CBAS without interruption in services due to wait lists.

3. DHCS determines that a provider needs additional time beyond July 1, 2012, for the for-profit provider to complete its conversion to non-profit status.

After July 1, 2012, DHCS retains the discretion to reexamine whether one of the above-listed exceptions for a for-profit CBAS center still applies to a CBAS center, and in doing so, DHCS may withdraw such exception for a for-profit CBAS center as needed.

Webinar

DHCS and CDA will conduct a webinar on Wednesday January 4, 2012, to review the CBAS application and basic program requirements. Additional CBAS provider webinars will be conducted later in January. CDA will provide further information regarding registration for the January 4th webinar soon.

If you have questions, or need additional information, please contact the ADHC Branch at (916) 419-7545.

- This FAX, the CBAS application and SOPs, and additional information and updates may be obtained on the following websites:
 - DHCS CBAS/ADHC Transition website at <http://DHCS.ca.gov/ADHCtransition>
 - CDA ADHC website at www.aging.ca.gov/programs/adhc

Number of pages (including this page): 2



**Department of Health Care Services
And
The California Department of Aging
Adult Day Health Care Branch**



Community-Based Adult Services Provider Application

An existing Adult Day Health Care (ADHC) center with an active unencumbered license as of December 1, 2011, may apply to the California Department of Aging (CDA) to become a Community-Based Adult Services 1115 Waiver provider. Upon meeting the criteria for enrollment, the licensed ADHC center will be designated as a "Community-Based Adult Services (CBAS) provider." CBAS providers will adhere to the CBAS Standards of Participation stipulated in the waiver and comply with both the California Health and Safety Code, Division 2, Chapter 3.3 and California Welfare and Institutions Code, Division 9, Chapter 8.7, and both Title 22, Division 3 and Division 5 of the California Code of Regulations. This specific provider designation will afford CBAS providers the opportunity to deliver outpatient waiver services to eligible Medi-Cal beneficiaries through California's Bridge to Reform 1115 Waiver. The CBAS designation will become active upon the elimination of the ADHC benefit.

CBAS Provider Legal Status Requirements

- March 1, 2012 - July 1, 2012: A CBAS provider may be a non-profit or for-profit entity.
- After July 1, 2012: To remain or commence as an eligible CBAS provider in the Medi-Cal program, a CBAS provider must convert to a non-profit entity unless the Department of Health Care Services (DHCS) determines that the CBAS provider satisfies one of the following three exceptions to non-profit status:
 1. The for-profit CBAS provider offers program specialization that meets the specific health needs of CBAS-eligible participants not otherwise met by any other CBAS provider in the participants' geographic area
 2. The for-profit CBAS provider's operation is necessary to preserve an adequate number of CBAS providers so that CBAS-eligible participants can transition seamlessly from ADHC to CBAS without interruption in services due to wait lists.
 3. DHCS determines that a provider needs additional time beyond July 1, 2012, for the for-profit provider to complete its conversion to non-profit status.

Additionally, after July 1, 2012, DHCS retains the discretion to reexamine whether one of the above-listed exceptions for a for-profit CBAS provider still applies to a CBAS provider, and in doing so, DHCS may withdraw such exception for a for-profit CBAS provider as needed.

Application Completion

Please complete Page 2 of this document and submit the requested forms as indicated below to apply to become a CBAS provider effective March 1, 2012.



**Department of Health Care Services
And
The California Department of Aging**



Community-Based Adult Services Provider Application

1. Please provide all the following information:

- A. NPI NUMBER:
- B. ADHC COMPANY DESIGNATION: (PLACE "YES" IN THE APPROPRIATE BOX)
 - NON-PROFIT ORGANIZATION:
 - FOR-PROFIT ORGANIZATION:
 - FQHC/RHC:
- C. ADHC LICENSEE NAME:
- D. ADHC CENTER NAME:
- E. CENTER ADDRESS:
- F. CENTER PHONE #:
- G. CENTER FAX #:
- H. CENTER EMAIL:
- I. REQUESTOR'S NAME:
- J. REQUESTOR'S TITLE:

2. Please submit the following documents:

- A. COMPLETED LICENSURE & CERTIFICATION APPLICATION (HS 200)
- B. COPY OF CURRENT ADHC FACILITY LICENSE (ISSUED BY THE CALIFORNIA DEPARTMENT OF PUBLIC HEALTH)
- C. COPY OF CURRENT GENERAL LIABILITY INSURANCE.
- D. COPY OF CURRENT WORKER'S COMPENSATION INSURANCE
- E. SIGNED CBAS PARTICIPATION AGREEMENT (IMS 36 REV 12/11) – APPROVED CBAS PROVIDERS WILL MAINTAIN THEIR CURRENT ADHC CERTIFICATION PERIOD, MODIFIED TO BEGIN MARCH 1, 2012, AND END AS SCHEDULED ON THEIR PREVIOUSLY APPROVED ADHC PARTICIPATION AGREEMENT.

NOTE: IF YOU PREVIOUSLY SUBMITTED AN APPLICATION TO DHCS TO BECOME A CBAS PROVIDER UNDER THE IN HOME OPERATIONS WAIVER (IHO) AND ALREADY SUBMITTED ITEMS 2.B, 2.C, AND 2.D ABOVE, YOU DO NOT NEED TO RESUBMIT THOSE ITEMS.

- **Applications must be received by or post-marked no later than January 27, 2012.** You may fax all of the above documents, including a copy of this form to the California Department of Aging at (916) 928-2507; e-mail to adhccda@aging.ca.gov; or mail to the California Department of Aging at:

**California Department of Aging
Adult Day Health Care Branch
1300 National Drive, Suite 200
Sacramento, California 95834**