



CBAS Home and Community- Based (HCB) Settings Stakeholder Process Kick-Off

Presented By: DHCS and CDA

Facilitated By: Bobbie Wunsch, Pacific Health Consulting Group

Date: February 24, 2015, 2-5pm



Webinar Housekeeping

- This meeting/webinar is being recorded and will be posted on the CDA website along with additional information about the CBAS HCB Settings Stakeholder Process:
www.aging.ca.gov/ProgramsProviders/ADHC-CBAS/HCB_Settings_Stakeholder_Process/
- If you would like to send a comment or question, type into the Questions box on your screen's control panel.
- Submit questions at any time, as we will stop periodically throughout the meeting to read and take comments and questions focused on the current discussion topic.
- We'll open phone lines at the end of webinar.

Thank You!

... SCAN Foundation for supporting the CBAS HCB Settings Stakeholder process.



Agenda

- ✓ Welcome and Introductions
- ✓ Overview and Background
 - CBAS HCB Settings Stakeholder Process Framework
 - CMS Directive – STC 95 and 96
 - Deliverables/Work Products
 - Key Documents
 - Stakeholder Engagement Principles
- ✓ Walkthrough of *California's Statewide Transition Plan*
- ✓ Overview of Outline for CBAS Transition Plan
- ✓ Overview of CMS Exploratory Questions
- ✓ Identify Possible Work Products To Be Developed
- ✓ Q&A/Public Comments
- ✓ Next Steps/Review of Action Items

Webinar Objectives

- Provide an overview of the federal Home and Community-Based (HCB) Settings regulations
- Engage CBAS stakeholders in discussion about CBAS settings in the context of the HCB Settings regulations
- Begin focused discussion and review of work tools that will assist in drafting the CBAS HCB Settings Transition Plan

CBAS HCB Settings Stakeholder Process - Overview and Background

Opening Remarks

Department of Health Care Services (DHCS)	John Shen, Chief Long-Term Care Division
California Department of Aging (CDA)	Ed Long, Deputy Director Long-Term Care and Aging Services Division

CBAS HCB Settings Stakeholder Process – Schedule

CBAS HCB Settings Stakeholder Process Schedule		
Date	Time	Subject
February 24, 2015	2-5 p.m.	Meeting 1 - Kick Off
March 2015	2-5 p.m.	Meeting 2 – Plan Development
April 2015	2-5 p.m.	Meeting 3 – Plan Development
May 2015	2-5 p.m.	Meeting 4 – Release <i>Draft CBAS HCB Settings Transition Plan</i> 30-day Public Comment Period Begins
July 2015	2-5 p.m.	Meeting 5 – Review revised <i>Draft CBAS HCB Settings Transition Plan</i> for inclusion in <i>California’s Statewide Transition Plan</i>
September 1, 2015		Submit Plan to CMS

CMS Directive – Special Terms and Conditions (STCs)

STC 95(c) – Home and Community-Based Settings

The state must ensure that home and community-based settings have all of the qualities required by 42 CFR 441.301(c)(4), and other such qualities as the secretary determines to be appropriate based on the needs of the individual as indicated in their person-centered plan. In a provider owned or controlled setting, the additional qualities required by CFR 441.301(c)(4)(vi) must be met. The state will engage in a CBAS stakeholder process to amend the HCB settings statewide transition plan to ensure that all home and community-based settings found in the 1115 Demonstration have all of the qualities required by 42 CFR 441.301(c)(4). The state will amend the statewide transition plan to include all HCBS settings used by individuals in the 1115 Demonstration and submit to CMS no later than September 1, 2015, to ensure complete compliance with HCB Settings by March 17, 2019.



CMS Directive – Special Terms and Conditions (STCs)

STC 96(c) – Individual Plan of Care (IPC)

The IPC is a written plan designed to provide the CBAS beneficiary with appropriate treatment in accordance with the assessed needs of the individual, as determined by the CBAS center and as specified in State law. The IPC is submitted as supporting documentation for level of service determination with the treatment authorization request.

The person-centered planning process will, with further development in the CBAS stakeholder process to be completed no later than September 1, 2015, comply with the requirements at 42 CFR 441.301(c)(1) through (3) including specifying: 1) How the plan will identify each enrollee's preferences, choices and abilities and the strategies to address those preferences, choices and abilities; 2) How the plan will allow the enrollee to participate fully in any treatment or service planning discussion or meeting, including the opportunity to involve family, friends and professionals of the enrollee's choosing; 3) How the plan will ensure that the enrollee has informed choices about treatment and service decisions; and 4) How the planning process will be collaborative, recurring and involve an ongoing commitment to the enrollee.

CBAS HCB Settings Stakeholder Process - Overview

- What are the deliverables/work products we need to produce?
 - A transition plan and timeline for CBAS to meet HCB requirements by March 2019
 - Work products associated with the transition plan (e.g., CBAS provider self-assessments) may be developed concurrent with plan development, but are not required to be completed by September 1st.
- Key Documents
 - www.aging.ca.gov/ProgramsProviders/ADHC-CBAS/HCB_Settings_Stakeholder_Process/Key_Documents/
- Stakeholder Engagement Principles - DHCS Stakeholder Engagement Initiative
 - www.dhcs.ca.gov/provgovpart/Pages/StakeholderEngagement.aspx



California's Statewide Transition Plan

High-Level Overview:

California's Statewide Transition Plan for Achieving and Maintaining Compliance with the Federal Home and Community Based Setting Requirements

www.dhcs.ca.gov/services/ltc/Pages/HCBSStatewideTransitionPlan.aspx

Outline for CBAS Transition Plan

- I. Education and Outreach
- II. Assessment of Statutes, Regulations, Policies, and Other Requirements
- III. Compliance Determination Process for HCB Settings
- IV. Role of Person-Centered Planning
- V. Appeal Process
- VI. Compliance and Monitoring

Statewide Transition Plan / CBAS Transition Plan Work Tool

Overview of California Statewide Transition Plan and CBAS Transition Plan tool

CMS Exploratory Questions

- CMS provided exploratory questions for states to consider when assessing non-residential home and community-based settings.
- We anticipate answering those questions as a group in these meetings to help us develop the transition plan.
- Let's take a look at the questions.

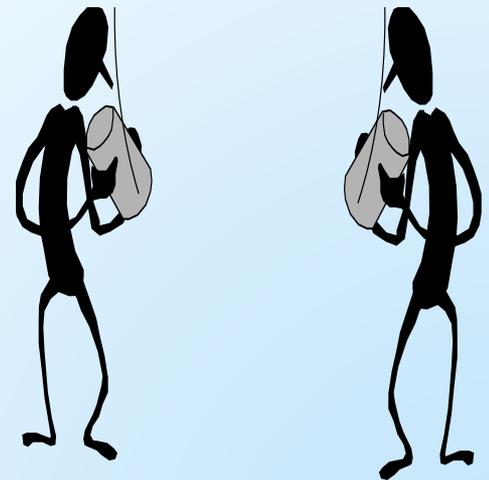
Possible Work Products To Be Developed As Part of Plan

- Provider Compliance Self-Assessment
- Participant/Caregiver Evaluation of Compliance
- State Monitoring Tools
- Person-Centered Care Processes/Tools - Revised Individual Plan of Care (IPC), Revised Participation Agreement, . . .

Questions and Public Comments

Please:

- ✓ Type in questions, raise your hand on your desktop, or raise your hand in the room
- ✓ State your name and organization
- ✓ Keep comments brief and related to today's topic
- ✓ Share your thoughts on how today's process worked and any suggestions you may have



Next Steps/Review of Action Items



Contact CDA To Get Updates and Give Input

Written Feedback	California Department of Aging CBAS Branch 1300 National Drive, Suite 200 Sacramento, CA 95834-1992 cbascda@aging.ca.gov
CBAS Stakeholders on the Web	www.aging.ca.gov
Phone	(916) 419-7545
Subscribe to <i>CBAS Updates</i> (to receive Stakeholder notices)	http://eepurl.com/8F3Mv Or go to the CBAS page of the CDA website

Thank you for attending!

Meeting Adjourned --

Next Up:

CBAS HCB Settings Stakeholder Meeting #2
March 2015 – Date TBD

