

**CALIFORNIA DEPARTMENT OF AGING**

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**PROGRAM MEMO**

CDA 1014 (Rev. 02/14)

<b>TO: Area Agency on Aging Directors</b>		<b>NO.: PM 15- 10 (P)</b>
<b>SUBJECT: Updated Title IID Disease Prevention and Health Promotion Program Requirements Effective July 1, 2016</b>		<b>DATE ISSUED: July 29 , 2015</b>
		<b>EXPIRES: When superseded</b>
<b>REFERENCES: FY 2012 Congressional Appropriations</b>		<b>SUPERSEDES: PM 12-10 (P)</b>
<b>PROGRAMS AFFECTED:</b>	<input type="checkbox"/> All <input type="checkbox"/> Title III-B <input type="checkbox"/> Title III-C1/C2 <input checked="" type="checkbox"/> Title III-D <input type="checkbox"/> Title III-E <input type="checkbox"/> Title VII <input type="checkbox"/> Title V <input type="checkbox"/> HICAP <input type="checkbox"/> Other:	

**Purpose**

The purpose of this Program Memo (PM) is to provide guidance to Area Agencies on Aging (AAA) on the new federal requirements for evidence-based Title IID Disease Prevention and Health Promotion Programs (Title IID), which go into effect July 1, 2016.

**Background**

The Federal Fiscal Year (FFY) 2012 Congressional appropriations law required that by FFY 2016 Older Americans Act (OAA) Title IID funding be used only for Disease Prevention and Health Promotion programs and activities which have been demonstrated through rigorous evaluation to be evidence-based.

To guide states and AAAs in phasing in these new requirements, the Administration for Community Living (ACL) established a three-tiered set of criteria for defining OAA evidence-based interventions.

On June 4, 2014, ACL announced that this three-tiered set of criteria would no longer apply after September 30, 2016. Effective October 1, 2016, Title IID funds shall be used only for health promotion programs and activities that meet the highest level evidence-based criteria. ACL encouraged states to work in collaboration with their AAAs to establish a target date for complying with this new standard in advance of the October 1, 2016 effective date.

In consultation with AAAs, the Department established July 1, 2016, as California's implementation date for the new evidence-based standard. This effective date aligns with the State Fiscal Year (SFY), CDA Standard Agreement contract period, and the Area Plan cycle.

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**Implementing  
Approved DHHS  
Programs**

AAAs may use the Title IIID funding to support any evidence-based program(s) that have been approved by the U.S. Department of Health and Human Services (DHHS). Included below are links to the DHHS-approved evidence-based programs.

- Administration on Community Living's, Aging and Disability Evidence-Based Programs and Practices.  
<http://www.acl.gov/Programs/CIP/OCASD/ADEPP/index.aspx>
  - Center for Disease Control and Prevention's (CDC), Compendium of Effective Interventions.  
<http://www.cdc.gov/homeandrecreationalafety/Falls/compendium.html>
  - Substance Abuse and Mental Health Services Administration's (SAMHSA), National Registry of Evidence-Based Programs and Practices.  
<http://www.nrepp.samhsa.gov/>
  - NIH's Cancer Control Evidence-Based Portal, Research-tested Intervention programs.  
<http://rtips.cancer.gov/rtips/index.do>
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**Implementing  
Programs Not  
Approved by  
DHHS**

If AAAs want to use Title IIID funds to support other programs, they must meet all of the five (5) criteria below:

- Have demonstrated through evaluation that they are effective for improving the health and well-being or reducing the disability and/or injury among older adults.
  - Have been proven effective with the older adult population, having used an Experimental or Quasi-Experimental Design.
  - Have research/evaluation results that have been published in a peer-reviewed journal.
  - Have been implemented previously at the community level (with fidelity to the published research) and shown to be effective outside a research setting.
  - Includes program manuals, guides, and/or handouts that are available to the public.
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**Implementation Process**

Effective July 1, 2016, Title IIID funds shall only be used for health promotion programs that comply with ACL's new evidence-based program standards.

Currently some AAAs fund Nutrition Education and Nutrition Counseling programs. These activities will not meet ACL's new standard for Title IIID evidence-based programs. AAAs may continue to fund Medication Management. However, the program must comply with ACL's new Title IIID evidence-based standards.

Contracts

By July 1, 2016, AAAs must ensure that Title IIID funded program activities comply with ACL's new Title IIID evidence-based program standards.

All Requests for Proposals (RFP) and subcontracts for SFY 2016-17 and beyond must include the new evidence-based definitions.

Area Plan

The following sections of the AAA's SFY 2016-17 Area Plan must reflect that all planned Title IIID activities meet ACL's new evidence-based standard.

- Section 9 Area Plan Narrative Goals and Objectives: The objective(s) shall clearly describe the service activity being performed and explain how the service activity meets ACL's standard for evidence-based programs.
- Section 10 Area Plan Service Unit Plan Objectives: AAAs shall enter proposed units of service and Program Goal and Objective numbers, which link to the written program description in Section 9 of the Area Plan.

Fiscal

Report the SFY 2016-17 funding amount for all Title IIID activities including Medication Management on the Disease Prevention Health Promotion (DPHP) line of the Area Plan Budget. AAAs will not be able to enter Title IIID funds on the Nutrition Counseling and Nutrition Education lines of the budget since these programs will no longer be allowable activities under Title IIID.

Data

Report all SFY 2016-17 Title IIID activities under the Health Promotion service category.

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**Resources**

The following links provide additional information on implementing Title IIID evidence-based programs.

- ACL Title IIID evidence-based criteria and program examples:  
[http://www.aoa.acl.gov/AoA\\_Programs/HPW/Title\\_IIID/index.aspx](http://www.aoa.acl.gov/AoA_Programs/HPW/Title_IIID/index.aspx)
- Summary of Evidence-Based Health Promotion Programs Being Offered by California Area Agencies on Aging:

The California Department of Aging developed a matrix of Evidence-Based Health Promotion and Caregiver Support Programs used by home and community based organizations and health care providers in California. This matrix is meant to assist Area Agencies on Aging in analyzing the implementation for these evidence-based health programs. All programs on the matrix meet the evidence-based Title IIID requirements.

[http://www.aging.ca.gov/ProgramsProviders/AAA/Disease\\_Prevention\\_and\\_Health\\_Promotion/](http://www.aging.ca.gov/ProgramsProviders/AAA/Disease_Prevention_and_Health_Promotion/)

- Highest Tier Evidence-Based Health Promotion/Disease Prevention Programs. This chart is available on the National Council on Aging (NCOA) website. The chart outlines thirty programs that have undergone the Administration on Aging's Older Americans Act Title IIID evidence-based program submission process.

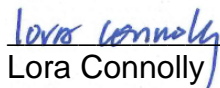
<https://www.ncoa.org/resources/highest-tier-evidence-based-health-promotiondisease-prevention-programs/>

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Director