

Allocation Methodology

Area Plan Original Contract Allocation Methodology

To determine each AAA's Titles III and VII allocations, CDA used the methodology described below.

Federal Titles III and VII Funding (other than Ombudsman Program funding):

- Used weighted population factors consistent with federal requirements.
- Included a \$50,000 administrative base with the remaining administrative funding being allocated to Planning and Service Areas (PSA) based on each PSA's proportion of California's total population of persons aged 60 and older.
- Used the best available data to determine each PSA's relative proportion of persons with characteristics corresponding to each population factor.
- Used the following data sources to determine the number of persons statewide and in each PSA with characteristics corresponding to each population factor:

Population Factors	Data Source	Weight
Non-Minority 60+	2010 Census – Department of Finance Projections, 2015	1.0
Minority 60+	2010 Census – Department of Finance Projections, 2015	2.0
Low Income 60+	2010 Census – Administration on Aging updated database 2015	2.0
Geographic Isolation 60+	2010 Census	1.5
Medi-Cal Eligible 60+	Department of Health Care Services, 2015	1.0

State Funding:

- First, allocated General Fund (GF) to match designated federal funds as mandated by federal regulations.

- Second, allocated the remaining GF for Maintenance of Effort to bring AAAs to a funding level consistent with the previous year's total allocation for selected funds. Pursuant to Welfare and Institutions Code Section 9112(c), CDA made this Maintenance of Effort adjustment to the degree possible with available funds.
- Third, once the Maintenance of Effort was met, the remaining funding (Surplus Overmatch) was distributed using the Washington Formula. All PSAs that were not adjusted for Maintenance of Effort received Surplus Overmatch.

Ombudsman Program Funding:

- Allocated \$35,000 in baseline funding to each local Ombudsman program, *unless*:
 - The number of long-term care facility beds (i.e., skilled nursing facility, intermediate care facility, and residential care facility) in the PSA was below 500 beds; and
 - The number of long-term care facilities was less than 10.
 - AAAs that fell below this threshold received a lower baseline allocation.
- Pursuant to Welfare and Institutions Code Section 9719.5, allocated the balance of federal funds using the following factors:

Factor	Percentage
Number of Long-Term Care Facilities	50 percent
Number of Long-Term Care Beds	40 percent
Square Miles in the PSA	10 percent

This formula proportionally weights data on each PSA's number of facilities, facility beds, and square miles.

Legislation that established the on-going State Health Facilities Citation Penalties Account provided for the allocation basis of Skilled Nursing Facility Beds in each Ombudsman area of authority.

The Ombudsman Program also receives General Fund, Skilled Nursing Facility Quality and Accountability Funds, and California Department of Public Health, Licensing and Certification Program Funds. These funds are allocated using the funding formula found in Welfare and Institutions Code Section 9719.5.

Area Plan Amendment:

To determine each AAA's Amendment # 1 allocation, CDA used the methodology described below.

- Unexpended Funds One-Time-Only (OTO) Funds— reallocated up to five percent of each AAA's unexpended funding from its previous contract back to the original AAA. Amounts that exceed the five percent baseline were reallocated to all AAAs using the applicable Intrastate Funding Formula (IFF) factors.
- Federal Grant Reconciliation—The difference between the estimated federal grant funds that were allocated in your original contract were compared to the actual final federal grant award. CDA allocated reconciled federal funds to all AAAs using the appropriate Intrastate Funding Formula, Ombudsman, and Nutrition Services Incentive Program factors.
- Additional OTO allocation of State Health Facilities Citation Penalties Account funds for the Ombudsman Program were allocated using each PSA's relative proportion of the State's total number of Skilled Nursing Facility Beds.
- Additional OTO allocations of State General Funds for the Home-Delivered Meals Nutrition Program were allocated using the Washington Formula.