



CBAS Program and Policy Updates – Provider Webinar

October 25, 2016



Before We Get Started

- Sound check
- Slides sent to all those registered for webinar earlier today
- Slides and a recording of the webinar will be posted on CDA website later this week

Agenda

1. Overview of Federal Rules

- Home and Community-Based (HCB) Settings
- Person-Centered Planning

2. CDA and DHCS Activities Related to Federal Rules

- Statewide Transition Plan (including CBAS Plan)
- Quality Strategy and Individual Plan of Care Workgroups

3. CBAS Quality Strategy – Brief Walkthrough

4. Revised IPC and Participation Agreement

5. Q&A

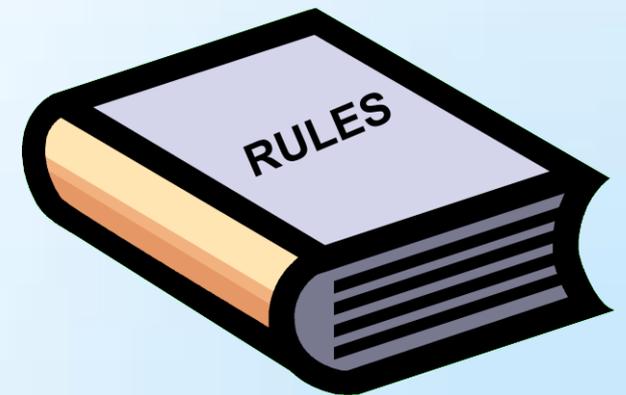
Webinar Objectives

To share information about:

- Federal HCB Settings and Person-Centered Planning regulations
- Discuss how they affect CBAS providers and participants
- Ensure that CBAS providers are aware that they must comply

Overview of Federal Requirements:

- ✓ HCB Settings
- ✓ Person-Centered Planning



Home and Community-Based (HCB) Settings Regulations

Federal Regulations for HCB Settings, U.S. Code of Federal Regulations, [42 CFR 441.301\(4\)](#)

- Establish an outcome-oriented definition of HCB settings that focuses on the nature and quality of the individuals' experiences
- Maximize opportunities for individuals receiving HCB services to access the benefits of community living in the most integrated settings

Home and Community-Based (HCB) Settings Regulations

HCB Settings for non-residential settings – including CBAS centers – address:

- Access to community
- Choice of setting
- Rights of privacy, dignity, respect, and freedom from coercion and restraint
- Autonomy and independence
- Choice regarding services and supports
- Center physical accessibility

Person-Centered Planning Regulations

Federal Regulations for Person-Centered Planning, U.S. Code of Federal Regulations, [42 CFR 441.301\(c\)\(1\)\(2\)\(3\)](#)

- Define person-centered planning requirements for persons in HCB settings, emphasizing the goals, wants, needs, and strengths of the individual
- Establish strong consumer protections in the person-centered planning process

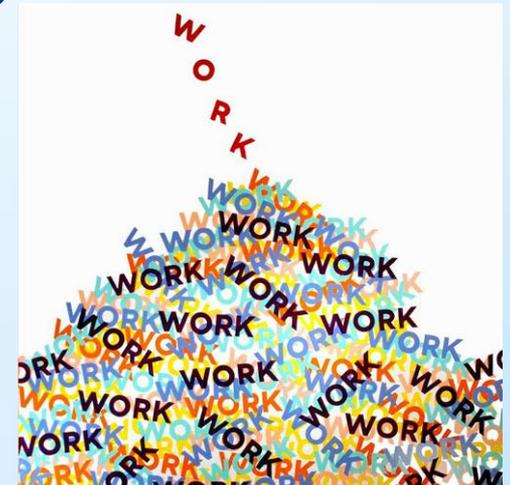
Person-Centered Planning Regulations

Person-Centered Planning regulations address:

- **Person-centered planning process**
 - Led/directed by participant to extent possible
 - Includes individuals chosen by participant
 - Offers informed choices regarding services and supports
- **Person-centered plan**
 - Reflect individual's strengths, preferences, goals, desired outcomes, choices
 - Reflect risk factors/measures in place to minimize them
 - Finalized and agreed to with informed consent of participant
- **Review of the plan**
 - Reviewed and revised upon reassessment (at least every 12 months), when participant's circumstances/needs change significantly, or at participant's request

CDA and DHCS Activities Related to Federal Rules

- ✓ HCB Settings
- ✓ Person-Centered Planning



CDA and DHCS Activities Related to Federal Regulations

[California's Statewide Transition Plan \(STP\) with attached CBAS Transition Plan](#)

- Submitted to the Centers for Medicare & Medicaid Services (CMS) on August 14, 2015
- Revised the *STP* based CMS feedback
- Posted for public comment September 2016
- Revising based on public comment prior
- Will resubmit to CMS for review (and approval) after revisions are complete

CDA and DHCS Activities Related to Federal Regulations

Meanwhile . . . CDA has begun implementing the activities and milestones identified in Appendix I of the [CBAS Transition Plan](#)

- ❑ *CBAS Transition Plan* implementation activities include:
 - Training and Education
 - Provider Self-Assessment
 - Onsite validation
 - Workgroups – Quality and IPC Revision

CDA and DHCS Activities Related to Federal Regulations

Training and Education

- CDA is working with CBAS providers to:
 - Ensure that they understand their responsibilities for meeting HCB Settings and person-centered planning requirements
 - Promote understanding of the requirements by participants and caregivers
- References and Tools on CDA Website:
 - [Participant Rights](#) – in user-friendly format in the Toolkit
 - ["Are You Ready"](#)
- [CBAS Updates](#)

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CDA and DHCS Activities Related to Federal Regulations

Provider Self-Assessment for HCB Settings Compliance

- [CBAS Provider Self-Assessment](#):
 - Piloted and revised in late 2015 and early 2016
 - Implementation began June 1, 2016. The link to the provider self-assessment survey is included in the CBAS certification renewal letter
 - CDA is providing individualized technical assistance to centers completing the self-assessment as needed
 - CDA will validate provider responses during certification renewal onsite survey
 - **All CBAS providers will be required to complete the self-assessment of compliance and CDA will validate responses via onsite and participant surveys***

*NOTE: A Medi-Cal beneficiary setting assessment tool is under development and will be used by CDA to cross-validate the provider self-assessment and onsite validation.

CDA and DHCS Activities Related to Federal Regulations

CBAS Quality and IPC Revision [Workgroups](#)

- Products of the Workgroups:
 - *CBAS Quality Assurance and Improvement Strategy*
 - Revised Draft IPC Form
 - Revised IPC Instructions for Medi-Cal Manual
 - Standardized Participation Agreement
 - Training strategy for providers and managed care plans
- CDA released the revised IPC and *Quality Strategy* for stakeholder input through September 30, 2016
- Target implementation date for IPC – March 2017
- IPC roll out and [training November 15th](#) in Garden Grove
- Advisory committees for both the IPC and Quality Strategy continue to assist with implementation

CBAS Quality Strategy – Quick Walkthrough



CBAS Quality Strategy

CBAS Quality Assurance and Improvement Strategy

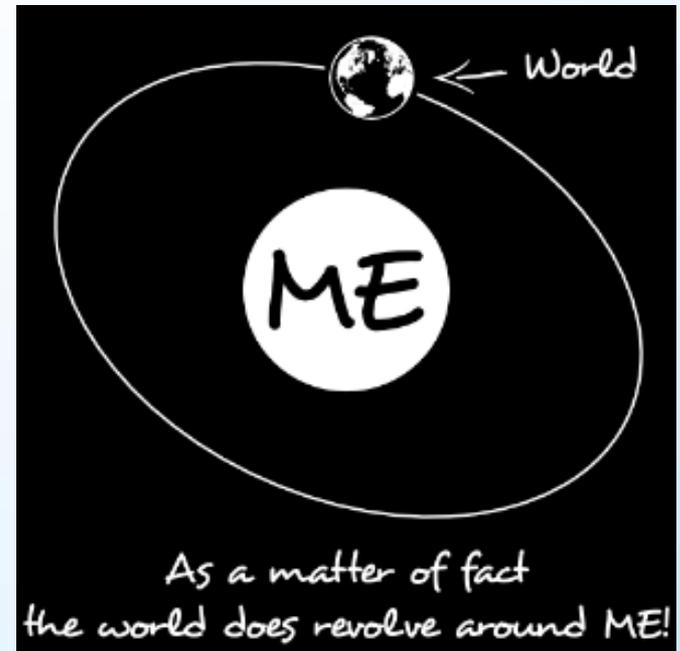
- 5-year strategy
- **Goal I** - Assure CBAS provider compliance with program requirements through improved State oversight, monitoring, and transparency activities
- **Goal II** - Improve service delivery by promoting CBAS best practices, including person-centered and evidence-based care
- 17 total specific objectives that support achieving the goals of assuring and improving CBAS program quality

CBAS Quality Strategy

To meet the requirements of Waiver STC 49 and promote best practices, the *CBAS Quality Strategy* focuses on activities that:

- Give providers tools and training to improve the quality of service delivery
- Provide greater transparency through public reporting of provider profile and compliance data
- Enhance collaboration and partnerships among CDA, managed care plans, and providers
- Increase provider accountability
- Identify and remediate poor provider performance
- Recognize high performing, innovative providers and promote their best practices

CBAS IPC and Participation Agreement - Walkthrough



IPC Revisions Made To . . .

- Support person-centered planning
- Bring the IPC up- to-date with current program requirements
- Facilitate information exchange between CBAS providers and managed care plans for treatment authorization and service coordination.
- Increase capacity for documenting, tracking and measuring beneficiary clinical data, quality indicators, and outcomes
- Improve the form's design, functionality, and ease of use

IPC

- Form is still in draft
- Currently going through approval process at DHCS prior to publishing
- Medi-Cal Provider Manual instructions currently being drafted
- IPC is two-part:
 - Participant profile
 - Care plan

IPC Form Overview

Participation Agreement

- Standardized to meet person-centered planning requirements for consent
- Form will be required for use by all CBAS centers
- Form currently being finalized and will be posted on the CDA website

Participation Agreement Form Overview

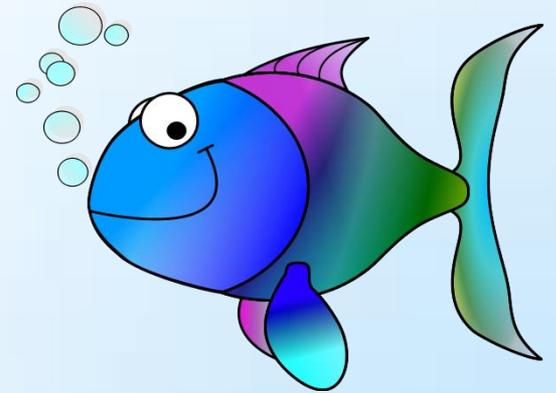
Upcoming Training

CAADS Pre-Conference Session:

- ❖ IPC roll out and [training November 15th](#) in Garden Grove
- ❖ 6-hour intensive session
- ❖ Focused on the IPC and Participation Agreement forms and person-centered care planning

Future IPC training - January 2017 Webinar, April 2017 CAADS Spring Conference, additional as necessary

Questions?



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